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Jeb Spaulding, Secretary

MEMORANDUM

TO: Vermont General Assembly, Government Accountability Committee and Joint Fiscal Committee
CC: Jeb Spaulding, Michael Clasen and Performance Accountability Liaisons
FROM: Susan A. Zeller, Chief Performance Officer (CPO)
RE: 2014 Act 186 – Initial Report on Indicators and Implementation
DATE: July 30, 2014

Attached please find the initial report, by indicator, in accordance with 2014 Act 186. This report details the initial indicator data that will be used for comparative purposes in future reporting.

Population Outcomes & Indicators Report (32 V.S.A. § 2311(c)):

Attachment 1 details the following elements, in order: Act 186 Population-Level Outcomes; Population Indicator; Reporting Agency/Department; Data Source; Actual Value for 3 Periods; Current Period reference; and Comments/Narrative.

The wording of certain indicators did not appropriately match the data available from the agencies/departments. In some cases, the wording differences are minor; in others, more significant. When indicators are highlighted using “rose” this denotes a mismatch with data, language or use. Suggested proxy (or alternate) indicators are highlighted in “blue”. An explanation of why the proxy is necessary and/or suggested is also shown (see example, Figure 1). Indicators with issues, such as data no longer being collected, are highlighted in “purple”- no proxy indicators have been suggested for these purple indicators.

We will work with the Government Accountability Committee during the off-session and, with the Committees of Jurisdictions during the 2015 session, on indicator differences and issues, and to make appropriate language changes, as is allowed under the provisions of Act 186.

Example of an indicator with a proxy indicator suggested as an alternative:

2014 Act 186:

Outcome: (3) Vermont’s environment is clean and sustainable.

Indicator C: Carbon dioxide per capita;

Reason for proxy: Carbon dioxide per capita is not tracked separately. CO2 represents approximately 80% of Green House Gas emissions (GHG).

Proxy Indicator C: GHG emissions per capita;

Figure 1

Performance Accountability Liaisons Assignment and Training (32 V.S.A. § 2312):

Attachment 2 lists the 57 Performance Accountability Liaisons (PALs) assigned in accordance with Act 186. Although not required to participate by Act 186, we are pleased to report this number includes 2 PALs for the Judiciary. This number also includes 2 open assignments at Fish & Wildlife, selection of whom was delayed due to the recent change in Commissioners. However, our expectation is that 2 F&W PALs will be assigned by the Commissioner at a scheduled meeting with the CPO on Thursday, 7/31/14. In addition to the 57 PALs, the list includes the CPO, an AHS Results Based Accountability (RBA) contract liaison, and a Legislative representative from the Joint Fiscal Office. Units yet to name PALs are shown at the bottom of list.

Implementation and Training began with a PAL Kick-Off meeting held in June. Following this meeting were two all-day RBA 101 trainings, presented by the CPO and the AHS Policy and Planning Manager assisting. More than 50% of the PALs had attended RBA 101 classes previously. Although they were not required to attend the in-house training again, a number of them chose to do so, to brush up on terminology and practice. 27 PALs attended the first training session. The second session was opened to Performance Accountability Team members suggested by the PALs. In total 60 PALs and other team members were trained in the two in-house sessions. 7 PALs have yet to attend any RBA training.

Results Based Schematic:

Attachment 3 is a sample Results-Based Schematic, using Act 186 participation and RBA training as the example.

Performance Contracts and Grants (32 V.S.A. § 2313):

Attachment 4 shows a 4-year history of new contracts for service, and a 3-year history of grants, with and without performance measures. All contract and grant records are reported by departments when manually entering new contracts/grants into the VISION statewide financial system.

Please contact me with questions at: (802) 828-6448 or susan.zeller@state.vt.

Population Outcome (2014 Act 186)	Population Indicator	Reporting Agency/ Department	Data Source	Actual Values for the past 3 periods (FY, CY, FFY, Other)			Current Period Reference (FY, CY, FFY, etc.)	Comments, Narrative
				Prior (current -2)	Prior (current -1)	Current		
(1) Vermont has a prosperous economy.								
	(A) percent or rate per 1,000 jobs of nonpublic sector employment;	VDOL	QCEW (Qtrly Census Employment & Wages)	823	825	825	CY 2013	This is the preliminary 2013 estimate; these numbers will be updated in 3 months with the addition of new data
	(B) median household income;	VDOL	US Census Bureau	50,707	53,841	52,977	CY 2012	US Census Bureau American Community Survey. One year data estimates.
	(C) percent of Vermont covered by state-of-the-art telecommunications infrastructure;	PSD	PSD	100%	100%	100%	FY 2015	Every E-911 location in Vermont is covered with the infrastructure necessary to obtain telephone service. 99.3% are covered by high speed Internet infrastructure, 75.8% are covered with infrastructure offering an internet speed of at least 4/1, 91.2% are covered with mobile wireless.
	(D) median house price;	ACCD	Annual summary of Property Transfer Tax Data - Median price for residential properties < 6 acres	\$185,000	\$180,000	\$189,000	CY 2013	These numbers are smaller than other figures for median house value when using Census Bureau data.
	(E) rate of resident unemployment per 1,000 residents;	VDOL	LAUS (Local Area Unemployment Stats)	31.77	27.61	24.42	CY 2013	Lists the last three calendar years of rate per 1,000 residents.
	(E) Proxy: Annualized Unemployment rate (an alternative indicator)	VDOL	Labor Market Information program	5.6	4.9	4.4	CY 2013	This is essentially the same metric as the previous indicator - simply a different common title. Either works. Lists the last three calendar years of annual unemployment rate.
	(F) percent of structurally-deficient bridges, as defined by the Vermont Agency of Transportation;	AOT	All bridges in excess of a 20 foot span and located on public roads receive regular, biennial inspections by qualified personnel to ensure safety of the travelling public. Short structures, those greater than 6 feet and up to 20 feet in span length, located on either the interstate or state highway systems are inspected once every 60 months. If deemed necessary because of deteriorating conditions, bridges are inspected more frequently.	Qty. 278 10.2%	Qty. 288 10.6%	Qty. 251 9.2%	CY 2013	Over the last few years, VTTrans has sustained the percentage of structurally deficient bridges near an Agency established target of 10%. Achieving this target ensures that VTTrans is effectively managing its bridge inventory so that the roadway network supports reliable travel for Vermont businesses, residents and visitors.
	(G) percent of food sales that come from Vermont farms;	AGFM		n/a	n/a	n/a		Agriculture does not have this data. However, see two alternative indicators below.
	(G) Proxy 1: percent of total farm sales	AGFM	US Agriculture census - done every 5 years - last done 2012	\$9,567,000 (2%)	\$22,863,000 (3.4%)	\$27,430,000 (3.5%)	FY 2012	Value of VT agricultural products sold directly to individuals for human consumption. Current 1= 2002; current 2 = 2007 and current = 2012 USDA Agricultural Census. % of total farm sales
	(G) Proxy 2: percent of fruit and vegetable farms by sales outlet.	AGFM	New England Ag Statistics Service of USDA		72%	74%	CY 2012	Vermont percent of fruit and vegetable farms selling direct to consumers but this does not include meats. So not as broad as the US Census data but lacking a key area of meats.
(2) Vermonters are healthy.								
	(A) percent of adults 20 years of age or older who are obese;	AHS - VDH	BRFSS	26%	23%	25%	CY 2013	Though on the rise overall, steady in the last 3 years. Note: Due to weighting methodology changes beginning in 2011, comparisons between data collected in 2011 and later and that from 2010 and earlier should be made with caution. Differences between data from 2011 forward and earlier years may be due to methodological changes, rather than changes in opinion or behavior
	(B) percent of adults smoking cigarettes;	AHS - VDH	BRFSS	20%	17%	18%	CY 2013	Overall decline consistent in the last 10 years. Note: Due to weighting methodology changes beginning in 2011, comparisons between data collected in 2011 and later and that from 2010 and earlier should be made with caution. Differences between data from 2011 forward and earlier years may be due to methodological changes, rather than changes in opinion or behavior
	(C) number of adults who are homeless;	AHS - CO	HUD PIT Count	Data not Available*	1,075	1,185	Annual snapshot January 2014	(1.) Federal sequestration reduced number of HUD rental vouchers in VT by 911. (2.) State now counting people staying in motels. (*) Prior to 2013, VT Continuum of Care included people who were precariously-housed in this count.

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	(D) percent of individuals and families living at different poverty levels;	AHS - DCF	Census Bureau/Annie Casey Foundation	<p>This is saying that 32 % of Vermonters are living at or below 200% of poverty. That is significant. Since the census and surveys that are used for information like this are only done every 10 years and 3 years respectively it is very difficult to find data on this every year. This is individuals; we do not have household data.</p> <table border="1"> <thead> <tr> <th colspan="2">2012</th> <th colspan="2">Less than 100%</th> <th colspan="2">100% to 199%</th> <th colspan="2">200% to 299%</th> <th colspan="2">300%-399%</th> <th colspan="2">400% and more</th> </tr> <tr> <th>DEMOGRAPHIC GROUPS</th> <th>Pop Total</th> <th>Pop</th> <th>%</th> <th>Pop</th> <th>%</th> <th>Pop</th> <th>%</th> <th>Pop</th> <th>%</th> <th>Pop</th> <th>%</th> </tr> </thead> <tbody> <tr> <td>Total</td> <td>626,435</td> <td>104,308</td> <td>16.7%</td> <td>96,866</td> <td>15.5%</td> <td>109,580</td> <td>17.5%</td> <td>96,384</td> <td>15.4%</td> <td>219,297</td> <td>35.0%</td> </tr> </tbody> </table>					2012		Less than 100%		100% to 199%		200% to 299%		300%-399%		400% and more		DEMOGRAPHIC GROUPS	Pop Total	Pop	%	Total	626,435	104,308	16.7%	96,866	15.5%	109,580	17.5%	96,384	15.4%	219,297	35.0%									
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(F) percent of adults with health insurance;	DFR	Survey for this will need to move from DFR after 2015. Discussions underway as to where it should go (DVHA, DOH, GMCB, ??)	91.10%	91.10%	92.20%	other	current = 2012; Prior (current -1) = 2009; Prior (current -2) = 2008																																						
(3) Vermont's environment is clean and sustainable.																																													
	(A) cumulative number of waters subject to TMDLs or alternative pollution control plans;	ANR - DEC	Priority Waters List Part B, completed TMDL count and other known WQRPs	129	129	129	FFY2014	Tracking by FFY to be consistent with EPA's TMDL approval tracking. 119 TMDLs, 10 other impaired waters not subject to TMDL.																																					
	(B) percent of water, sewer, and stormwater systems that meet federal and State standards;	ANR - DEC		n/a	n/a	n/a		DEC does not currently track this information. Two Potential alternatives are shown below.																																					
	(B) Proxy 1: Percent of public drinking water supplies in compliance with health based standards	ANR - DEC	State Drinking Water Information System (SDWIS)	92.5	90.1	90.7	FFY2013	Number includes compliance rates for approximately 1400 public water systems. Currently, only 20% of Vermont's population is served by public community drinking water supplies. Trends are currently steady but could decrease based on changing federal requirements																																					
	(B) Proxy 2: Percent municipal wastewater treatment plants in compliance with permit discharge (limits to surface water	ANR - DEC	DEC Wastewater Management Program	94.9	96.1	97.4	FFY2013	There are currently 88 municipal facilities reporting over 3,000 parameters annually.																																					
	(C) carbon dioxide per capita;	ANR - DEC		n/a	n/a	n/a		Carbon dioxide per capita not tracked separately. CO2 represents approximately 80% of GHG. See alternative indicator below.																																					
	(C) Proxy: Total greenhouse gas (GHG) emissions per capita, in units of annual metric tons of "equivalent carbon dioxide" (CO2e) per capita;	ANR - DEC	Based on a wide variety of underlying data (e.g., Vehicle Miles Traveled, residential fuel consumption, electricity consumption, etc.) from other sources (e.g., VTrans, US DOE-EIA, VT PSD, etc.), some of which have lag times of 1 or 2 years.	13.5	13.1	12.9	CY 2011	Vermont population showed minimal growth (less than 0.5% per year) during this period (Calendar Year 2009-2011). Greenhouse Gas emissions exhibited a decline (approx. 2% reduction per year during the same period). The GHG emissions reductions during this period were largely driven by decreases in transportation and residential / commercial / industrial fuel combustion emissions. A shrinking numerator (GHG emissions) divided by a denominator having slight growth (population) yields a smaller mass of GHG emissions per capita.																																					
	(D) electricity by fuel or power type	PSD	FROM UTILITIES	n/a	n/a	41%	FY 2011	PSD is working on backfilling prior years data.																																					
(4) Vermont's communities are safe and supportive.																																													
	(A) rate of petitions granted for relief from domestic abuse per 1,000 residents;	DPS	Vermont Court Administrator	0.006	0.006	0.006	CY 2012	The base statistics are for orders that are filed and are not for final orders granted. The overall rate per 1,000 residents appears to be constant over the three year reporting period. (Data shown to the left is for 2010-2012)																																					

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	(B) rate of violent crime per 1,000 crimes;	DPS	FBI and Vermont Crime Information Center (VCIC)	1.15	1.34	1.29	CY 2012	This is the violent crime rate as defined by the FBI uniform crime reporting requirements (Data shown to the left is for 2010-2012)
	(C) rate of sexual assault committed against residents per 1,000 residents	DPS	FBI and VCIC	0.45	0.47	0.45	CY 2012	This rate includes forcible and non-forcible sexual assaults (Data shown to the left is for 2010-2012)
	(D) percent of residents living in affordable housing;	ACCD	American Community Survey	60.80%	62.70%	63%	CY 2012	The sum of figures for those in rental and owner occupied housing spending less than 30% of household income. Those with no reported income or household losses are in the percent not living in affordable housing.
	(E) percent or rate per 1,000 people 1 convicted of crimes of recidivism;	AHS - DOC / Courts	Facts and Figures	45.30%	40.90%	44.40%		Current recidivism data is from 2008 (% of Recidivism among offenders released from prison within 3 years). The Recidivism rate reflects the average risk level of individuals existing Vermont prisons and reentering the community. The data reports on prisoners (a person sentenced to serve more than one year) released between 2002 and 2009. These prisoners were followed for three years in court disposition records and corrections daily housing records to assess of they had been charged with a new crime or returned to prison for more than 90 days.
	(F) incarceration rate per 100,000 residents;	AHS - DOC	Bureau of Justice Statistics	344	329	323	CY2012	Since 2000, the highest incarceration rate in VT was the 2008 figure of 706 per 100,000 residents. Vermont rates are consistently lower than US rates. The 2012 US rate was 655 per 100,000.
	(G) percent or rate per 1,000 residents of residents entering the corrections system;	AHS - DOC	Facts and Figures	2656	2944	3040	FY2013	This is the raw number of first time entrants to corrections. The total number of new entrants has dropped from a high of 3901 in FY2002. 40.2% are under the age of 25. 29% of the first time entrants are female. These percentages are consistent with previous years.

(5) Vermont's families are safe, nurturing, stable, and supported.

	(A) number and rate per 1,000 children of substantiated reports of child abuse and neglect;	AHS - DCF	FSD Database	N=630 Rate=5.0	N=649 Rate=5.2	N=746 Rate=6.1	FFY (10/1-9/30)	
	(B) number of children who are homeless;	AHS - CO	HUD PIT Count	Data not available	349	371	Annual snapshot January 2014	(1.) Federal sequestration reduced number of HUD rental vouchers in VT by 911. (2.) State now counting people staying in motels.
	(C) number of families that are homeless	AHS - CO	HUD PIT Count	172	262	Data not yet available	Annual snapshot January 2014	(1.) Federal sequestration reduced number of HUD rental vouchers in VT by 911. (2.) State now counting people staying in motels. Prior to 2013, the Continuum of care use to include people who were precariously housed in the count, and the number of families I can't yet find from HUD in a way that I have confidence in.
	(D) number and rate per 1,000 children and youth of children and youth in out-of-home care.	AHS - DCF	FSD Database	N=954 Rate=7.8	N=898 Rate=7.5	N=925 Rate=7.3	FFY (10/1-9/30)	

(6) Vermont's children and young people achieve their potential, including:

(A) Pregnant women and young people thrive.								
	(i) percent of women who receive first trimester prenatal care;	AHS - VDH	Vital Statistics	83%	83%	83%	CY 2010	Steady at 83% as measured on the birth certificate and reported in the 2013 Q4 MCH quarterly surveillance reports
	(ii) percent of live births that are preterm (less than 37 weeks);	AHS - VDH	Vital Statistics	10%	9%	8%	CY 2010	Gradual decline.
	(iii) rate of infant mortality per 1,000 live births;	AHS - VDH	Vital Statistics	4.6	6.2	4.2	CY 2010	Low and stable.
	(iv) percent of children at or below 200 percent of federal poverty level;	AHS - DCF	Census Bureau/Annie Casey Foundation	49,000 39%	44,000 36%	46,000 38%	CY 2012	
	(v) percent of children with health insurance.	DFR	Survey for this will need to move from DFR after 2015. Discussions underway as to where it should go (DVHA, DOH, GMCB, ??)	97.1% ± 8.0%	97.2% ± 7.6%	97.5% ± 9.5%	other	current = 2012; Prior (current -1) = 2009; Prior (current -2) = 2008
(B) Children 1 are ready for school.								
	(i) percent of kindergarteners fully immunized with all five vaccines required for school;	AHS - VDH	Annual School Immunization Reporting	87%	87%	86%	SY 2013-14	Stable in the last 3 school years.

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	(ii) percent of first-graders screened for vision and hearing problems;	AOE		NA	NA	NA		This information is no longer collected by Dept of Health. The results had historically been at or near 100%																												
	(iii) percent of children ready for school in all five domains of healthy development;	SHARED: AOE; AHS - DCF	Kindergarten Readiness Survey	56%	62%	49%	SY2014	<p>According to the KRS data, just under half of all children were rated as "ready for kindergarten" in all five domains of the survey. Anecdotally, AOE has received feedback that some folks had trouble with the survey instrument and may not have accurately reported responses as a result.</p> <table border="1"> <caption>TABLE 2: Readiness by Domain & Across All 5 Domains</caption> <thead> <tr> <th>Domain</th> <th>Number "Ready"</th> <th>Valid Responses</th> <th>Percent "Ready"</th> </tr> </thead> <tbody> <tr> <td>Social-Emotional Development</td> <td>4111</td> <td>5658</td> <td>73%</td> </tr> <tr> <td>Approaches to Learning</td> <td>3881</td> <td>5658</td> <td>69%</td> </tr> <tr> <td>Communication</td> <td>4668</td> <td>5658</td> <td>83%</td> </tr> <tr> <td>Cognitive Development</td> <td>3546</td> <td>5658</td> <td>63%</td> </tr> <tr> <td>Wellness</td> <td>4693</td> <td>5658</td> <td>83%</td> </tr> <tr> <td>Across All 5 Domains</td> <td>2533</td> <td>5154</td> <td>49%</td> </tr> </tbody> </table> <p>According to the KRS data, just under half (49.1%) of all children were rated as "ready for kindergarten" in all five domains of the survey.</p>	Domain	Number "Ready"	Valid Responses	Percent "Ready"	Social-Emotional Development	4111	5658	73%	Approaches to Learning	3881	5658	69%	Communication	4668	5658	83%	Cognitive Development	3546	5658	63%	Wellness	4693	5658	83%	Across All 5 Domains	2533	5154	49%
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	(iv) percent of children receiving State subsidy enrolled in high quality early childhood programs that receive at least four out of five stars under State standards.	AHS - DCF	Bright Futures Information System	40%	43%	48%	FY14	Data reflects children in high quality early childhood programs (three or more Stars)																												
(C) Children succeed in school.																																				
	(i) rate of school attendance per 1,000 children;	AOE	AOE census data	95.16	95.13	95.1	SY 2014*	*SY2014 data is preliminary, currently being cleaned																												
	(ii) percent of children below the basic level of fourth grade reading achievement under State standards;	AOE	NECAP test data, NAEP	27%	30%	28%	SY2012	NECAP scores reported here. NOTE->for 2013, there will not be a Statewide proficiency rate since some schools administered the Smarter Balance Assessment which was a beta test without any concordance to NECAP																												
	(iii) rate of high school graduation per 1,000 high school students.	AOE	AOE data for 4, 5, 6 year grad rate	87.49	87.74	86.6	SY2013	these are the four year grad rates for 2011, 2012, 2013 respectively. We also report 5 and 6 year grad rates for each grad year.																												
(D) Youths choose healthy behaviors.																																				
	(i) rate of pregnancy per 1,000 females 15-17 years of age;	AHS - VDH	Vital Statistics	12.7	10.4	11.1	CY 2010	Continues gradual decline of last 15 years																												
	(ii) rate of pregnancy per 1,000 females 18-19 years of age;	AHS - VDH	Vital Statistics	53.2	43.2	42.0	CY 2010	Continues gradual decline of last 15 years																												
	(iii) percent of adolescents smoking cigarettes;	AHS - VDH	YRBS					Reword indicator as shown on next line																												
	(iii) PROXY: percent of adolescents in grades 9-12 smoking cigarettes;	AHS - VDH	YRBS	18%	15%	13%	SY 2013-14	Continues gradual decline since 1997.																												
	(iv) percent of adolescents who used marijuana in the past 30 days	AHS - VDH	YRBS					Reword indicator as shown on next line																												
	(iv) PROXY: percent of adolescents in grades 9-12 who used marijuana in the past 30 days	AHS - VDH	YRBS	25%	25%	24%	SY 2013-14	Stable over the last 8 years.																												
	(v) percent of adolescents who reported 1 ever using a prescription drug without a prescription;	AHS - VDH	YRBS	17%	14%	13%	SY 2013-14	Reword indicator as shown on next line																												

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	(v) PROXY: percent of adolescents who reported ever using a prescription drug without a prescription	AHS - VDH	YRBS	17%	14%	13%	SY 2013-14	
	(vi) percent of adolescents who drank alcohol in the past 30 days;	AHS - VDH	YRBS	39%	35%	33%	SY 2013-14	Continued decline since 1995.
	(vii) number and rate per 1,000 minors of minors who are under the supervision of the Department of Corrections.	AHS - DOC / AHS - DCF	Co-case-management	40	29	23	FY2013	These are counts a point in time (June 30th) of the number of Youth (under18) under Corrections Supervision. The DOC does not calculate a rate based on population. Over the past ten year, the number of youth supervised by DOC has decreased dramatically. In Jun 30, 2000, there were 282 Youth under Corrections supervision.
(E) Youths successfully transition to adulthood.								
	(i) percent of high school seniors with plans for education, vocational training, or employment;	AHS - VDH	YRBS	NA	NA	86%	SY 2013-14	Question asked for the first time in 2013 YRBS
	(ii) percent of graduating high school seniors who continue their education within six months of graduation;	AOE	National Clearinghouse *	51.30%	52.20%	53.00%	SY2013	Federal reporting requirement is within 16 months of HS graduation, but these numbers reflect 6 months post HS graduation. 16 months past HS graduation is posted on our AOE website.
	(iii) percent of all deaths for youths 10–19 years of age;	AHS - VDH	Vital Statistics					Reword indicator as shown on next line
	(iii) Death rate per 100,000 youths 10–19 years of age;	AHS - VDH	Vital Statistics	39.1	29.4	22.7	CY 2010	Death counts for youths aged 10-19 years were: 34, 25, and 19 in 2008, 2009, and 2010, respectively. Total population of youths aged 10-19 years were 86,856, 85,023, and 83,649, respectively.
	(iv) rate of suicide per 100,000 Vermonters;	AHS - VDH	Vital Statistics	14.1	13.0	15.7	CY 2010	Increase in both rate and count of suicide deaths in 2010.
	(v) percent of adolescents with a suicide attempt that requires medical attention;	AHS - VDH	YRBS	2%	2%	2%	SY 2009-10	Stable. Question not asked in 2013.
	(vi) percent of high school graduates entering postsecondary education, work, or training;	AOE	* limited by availability data & system					
	(vi) Proxy: percent of high school graduates entering postsecondary education;	AOE	National Student Clearinghouse	63.90%	61.70%	59.70%	SY2012	This data does not include work or training (only National Student Clearinghouse / Postsecondary enrollments), this data is based on "entering" postsecondary 24+ months post HS graduation. This is using the hs graduation event rate rather than a 9th grade cohort rate.
	(vii) percent of completion of postsecondary education;	AOE	National Clearinghouse *	NA	48.40%	57.60%	SY2009	We do not have postsecondary data available further than 2008,. This measure is HS graduates that completed their postsecondary as of 1/31/2014. Typically don't measure until 4,5,6 years past HS graduation.
	(viii) rate of high school graduates entering a training program per 1,000 high school graduates.	AOE	* limited by availability data & system	NA	NA	NA		AOE does not collect training program data; AOE does not operate training programs. ACCD does not collect this data for their training programs, but VDOL is looking to see if they may be able to break this subset out from their training programs.
(7) Vermont's elders and people with disabilities and people with mental conditions live with dignity and independence in settings they prefer.								
	(A) rate of confirmed reports of abuse and neglect of vulnerable adults per 1,000 vulnerable adults; and	AHS – DAIL/DLP	Harmony APS database; US Census American Community Survey 3-year estimates	*SFY2012 1.60* per 1000 *see note	SFY2013 1.49 per 1000	SFY2014 2.00 per 1000	<i>ibid</i>	'Confirmed reports of abuse and neglect of vulnerable adults' defined as a DAIL/DLP/APS substantiation of an incident of abuse, neglect, or exploitation of a vulnerable person aged 18 or older during the fiscal year. SFY2012: 120; SFY2013: 112 (data incomplete); SY2014: 150. 'per 1000 vulnerable adults' defined as estimated Vermont population age 18+ with a disability (US Census, American Community Survey 3-year estimates) 2010: 76,078 2011: 74,843 2012: 74,956 (most recent estimate; used for SFY12, SFY13, and SFY14) . * Note: may include duplicated data as a result of incomplete Harmony implementation for this SFY. US Census ACCS: : http://factfinder2.census.gov/faces/tableservices/jsf/pages/productview.xhtml?pid=ACS_12_5_YR_B18101&prodType=table

Population Outcome (2014 Act 186)	Population Indicator	Reporting Agency/ Department	Data Source	Actual Values for the past 3 periods (FY, CY, FFY, Other)			Current Period Reference (FY, CY, FFY, etc.)	Comments, Narrative
				Prior (current -2)	Prior (current -1)	Current		
	(B) percent of elders living in institutions versus home care.	AHS – DAIL/PPAU	Medicaid paid claims (one month point in time, by dates of service)	SFY2012 Inst: 1832 Res: 336 Ind: 985	SFY2013 Inst:1828 Res:331 Ind:1014	SFY2014 Inst:1755 Res: 373 Ind:1164	point in time (January of each SFY)	Elders' defined as people age 60+ served through DAIL Choices for Care (CFC). Defined as persons age 60+ receiving a service in the specified setting in January within each state fiscal year, as represented in paid claims by dates of service. 'Institutions' defined as services in a nursing home, excluding Medicare crossover claims for Medicare rehabilitation services. 'Home care' defined as services in CFC HCBS setting, all service types (excluding Moderate Needs Group) and services in CFC enhanced residential care and assisted living. Note- does not include people served through CFC Moderate Needs Group, ACCS, Attendant Services Program, AAA, DHRS, or other services funded by DAIL or other AHS departments. Does not include people under the age of 60 with active enrollment in CFC.
	(C) # of people with disabilities and people with mental conditions receiving State services living in each of the following: institutions, residential or group facilities, or independently	AHS - DAIL / AHS - DMH	SEE ATTACHED DOCUMENT FOR OUTCOME 7(C)					
	Sub-indicator (C.1) DMH/Community Rehabilitation and Treatment	DMH	DMH: Monthly Service Reports (MSR) from Designated Agencies	FY11: Institutions: 53 (2%) Res/Grp Facil: 273 (10%) Indep: 1397 (52%)	FY12: Institutions: 60 (2%) Res/Grp Facil: 365 (14%) Indep: 1896 (73%)	FY13: Institutions: 70 (3%) Res/Grp Facil: 344 (14%) Indep: 1894 (75%)	point in time (end of the FY: June 30 of each year)	People with mental conditions are defined as Community Rehabilitation and treatment clients at designated agencies, a community program reserved for adults with severe and persistent mental illness. Changes in values between Prior (current -2) and other time periods is likely due to data cleanup. Prior (current -2) had 34% of cases missing living arrangements and more recent years have only 7-8% missing data Institutions: defined as MSR values: 06 – nursing home 07 – Vermont State Hospital 08 – other psychiatric hospital or ward 10 – jail or correctional facility Residential (or group) facilities: defined as MSR values: 04 – residential care home 05 – group home, ICF, or individualized placement 12 – other residential setting 13 – residential school 14 – residential treatment 15 – out of state placement Independently: defined as MSR values: 01 – owned home/condo/trailer 02 – section 8 subsidized rental 03 – other rental

Population Outcome (2014 Act 186)	Population Indicator	Reporting Agency/ Department	Data Source	Actual Values for the past 3 periods (FY, CY, FFY, Other)			Current Period Reference (FY, CY, FFY, etc.)	Comments, Narrative
				Prior (current -2)	Prior (current -1)	Current		
	Sub-indicator (C.2) DAIL/DS	DAIL/DDSD	Various see right column	SFY2012 Inst: 15 Res: 87 Ind: 2391	SFY2013 Inst: 16 Res: 90 Ind: 2478	SFY2014 Inst: 15 Res: 91 Ind: 2557	point in time (end of the FY: June 30 or July 1 of subsequent year)	<p>This subgroup of 'people with disabilities and people mental conditions receiving State services' is defined as people served through DAIL Developmental Services (DS). DS programs serve people with intellectual and developmental disabilities. Reporting as of June 30 of SFY.</p> <p>'Institutions' defined as:</p> <ul style="list-style-type: none"> • # of people receiving PASRR - Specialized Services to people living in nursing facilities. Data source: DAIL Business Office PASRR spreadsheet. • # of people served in ICF/DD - Intermediate Care Facility for People with Developmental Disabilities. Data source: DAIL (six people is the maximum capacity of the ICF, which was full on June 30). <p>'Residential (or group) facilities' defined as:</p> <ul style="list-style-type: none"> • # of people served in Group Living (licensed homes/facilities with 3-6 people, ie more than two). Data source: DAIL Annual Residential Report from DAs/SSAs. <p>'Independently' defined as:</p> <ul style="list-style-type: none"> • # of people served in Staffed Living, Shared Living, Supervised Living (people who receive less than 24 hour paid supports to live in their own home), and people who live with family and receive HCBS services. Includes both adults and children. Data source: DAIL Annual Residential Report from DAs/SSAs. <p>2014 Data for Residential and Independent is estimated based on the average increase in population each for category for the past four years. Actual 2014 data will be available in September 2014.</p> <p>Does not include people served through Flexible Family Funding, IFS Respite, CPCS, or other services funded by DAIL or other AHS departments.</p>
	Sub-indicator (C.3) DAIL/Choices for Care	DAIL/PPAU	Medicaid paid claims (one month point in time, by dates of service)	SFY2012 Annual point in time- January 2012 Inst: 1967 Res: 386 Ind: 1369	SFY2013 Annual point in time- January 2013 Inst: 1949 Res: 390 Ind:1404	SFY2014 Annual point in time; January 2014 Inst: 1881 Res: 435 Ind: 1554	point in time (January of each SFY)	<p>This subgroup of 'people with disabilities and people mental conditions receiving State services' is defined as people served through DAIL Choices for Care (CFC). Defined as persons of any age receiving a service in the specified setting in January within each state fiscal years, as represented in paid claims by dates of service.</p> <p>'Institutions' defined as services in a nursing home, excluding Medicare crossover claims for Medicare rehabilitation services (sum of 0501, 0502, 0503).</p> <p>'Residential (or group) facilities' defined as services in enhanced residential care or assisted living.</p> <p>'Independently' defined as services in CFC HCBS setting, all service types, excluding Moderate Needs Group.</p> <p>Does not include people served through CFC Moderate Needs Group, ACCS, Attendant Services Program, AAA, DHRS, or other services funded by DAIL or other AHS departments.</p>

(8) Vermont has open, effective, and inclusive government at the State and local levels

A) percent of youth who spoke to their parents about school;	AHS - VDH	YRBS	77%	74%	77%	SY 2013-14	
A) PROXY: percent of youth who spoke to their parents about school at least once a week;	AHS - VDH	YRBS	77%	74%	77%	SY 2013-14	
(B) percent of youth who report they help decide what goes on in their schools;	AHS - VDH	YRBS	50%	48%	47%	SY 2013-14	
(C) percent of eligible population voting in general elections;	Sec. of State	Secretary of State website	66.7%	49.1%	65.0%	CY2012 (2-years cycle)	
(D) percent of students volunteering in their community in the past week;	AHS - VDH	YRBS	43%	55%	57%	SY 2013-14	Volunteering for one or more hours a week increased significantly from 2011 to 2013.
(E) percent of youth who feel valued by their community; and	AHS - VDH	YRBS	46%	51%	50%	SY 2013-14	
(F) percent of youth that report their teachers care about them and give them encouragement.	AHS - VDH	YRBS	NA	59%	59%	SY 2013-14	Not asked in 2009

State of Vermont Population-Level Outcomes and Indicator Report
 July 30, 2014

Population Outcome (2014 Act 186)	Population Indicator	Reporting Agency/ Department	Data Source	Actual Values for the past 3 periods (FY, CY, FFY, Other)			Current Period Reference (FY, CY, FFY, etc.)	Comments, Narrative
				Prior (current -2)	Prior (current -1)	Current		

Legend:	"Rose"	Indicator does not match data collection, or wording changes required
	"Blue"	Proxy indicator suggested and explained
	"Purple"	Indicators with data issues; data no longer tracked; or other problems; no proxy indicator suggested.

2014 Act 186	Population-Level Outcome 7 (C) - Combined DMH and DAIL Indicator	7.17.14
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Attachment 1a

Outcome:

(7) Vermont’s elders and people with disabilities and people with mental conditions live with dignity and independence in settings they prefer.

(C) number of people with disabilities and people with mental conditions receiving State services living in each of the following: institutions, residential or group facilities, or independently.

Dept	Program	Setting	SFY11		SFY12		SFY13		SFY14	
			#	%	#	%	#	%	#	%
DMH	CRT	institution	53	3%	60	3%	70	3%	70	3%
		residential/group	273	16%	365	16%	344	15%	350	15%
		independently	1397	81%	1896	82%	1894	82%	1900	82%
		subtotal	1723	100%	2321	100%	2308	100%	2320	100%
DAIL	CFC	institution	1676	49%	1676	49%	1660	48%	1566	44%
		residential/group	372	11%	386	11%	390	11%	435	12%
		independently	1366	40%	1369	40%	1404	41%	1554	44%
		subtotal	3414	100%	3431	100%	3454	100%	3555	100%
DAIL	DS	institution	15	1%	15	1%	16	1%	15	1%
		residential/group	85	4%	87	3%	90	3%	91	3%
		independently	2300	96%	2391	96%	2478	96%	2557	96%
		subtotal	2400	100%	2493	100%	2584	100%	2663	100%
TOTAL		institution	1744	23%	1751	21%	1746	21%	1651	19%
		residential/group	730	10%	838	10%	824	10%	876	10%
		independently	5063	67%	5656	69%	5776	69%	6011	70%
		TOTAL	7537	100%	8245	100%	8346	100%	8538	100%

Hill, Bard:
my guesstimate; data available september 2014

Note: The measures for CRT does not include the entire CRT population; a small percentage of CRT clients (app 10%) were missing residential data or reported as homeless.

Hill, Bard:
my guesstimate; need sfy11 from ddsd to complete three years baseline

**Performance Accountability Liaisons and Back-Up Staff
Per 2014 Act 186**

As of: **7/29/2014**

First Name	Last Name	Agency/Dept.	PRIME, B/U or Alternate
Lucy	Leriche	ACCD	P
David	Metreaux	ACCD	B
Diane	Bothfeld	AGFM	P
Jolinda	LaClair	AGFM	B
Willa	Farrell	AGO/VCD	P
Monica	Hutt	AHS/CO	P
Dru	Roessle	AHS/CO	B
Bard	Hill	AHS/DAIL	P
Tara	Grenier	AHS/DAIL	B
Dawn	O'Toole	AHS/DCF	P
April	Allen	AHS/DCF	B
Susan	Onderwyzer	AHS/DMH	P
Emma	Harrigan	AHS/DMH	B
Monica	Weeber	AHS/DOC	P
Sandra	Olberg	AHS/DOC	B
Debra	Wilcox	AHS/DOH	P
Tracy	Dolan	AHS/DOH	B
Cynthia	Thomas	AHS/DVHA	P
Aaron	French	AHS/DVHA	B
Carey	Hengtenberg	ANR/DEC	P
Joanna	Pallito	ANR/DEC	B
Kristin	Freeman	ANR/FPR	P
Steven	Sinclair	ANR/FPR	B
tbd	meet w/new Commissioner 7/31	ANR/FW	P
tbd		ANR/FW	B
Debra	Damore	AOA/BGS	P
Julie	O'Tool Gutsell	AOA/BGS	B
Harold	Schwartz	AOA/DHR	P
Christine	Hetzel	AOA/DHR	B
Angela	Leclerc	AOA/DII	P
Darwin	Thompson	AOA/DII	B
Heather M	Campbell	AOA/FIN	P
Sarah	Clark	AOA/FIN	B
Greg	Mousley	AOA/TAX	P
Aaron	Kaigle	AOA/TAX	B
Christine	Friese	AOA/VTLIB	P
Marty	Reid	AOA/VTLIB	B
John	Fischer	AOE	P
Bill	Talbott	AOE	B
Faith	Brown	AOT	P
Joe	Segale	AOT	B
Susan	Donagan	DFR	P
Dave	Cameron	DFR	B
Heather	Duke	DLC	P
Mike	Hogan	DLC	B
Paco	Aumand	DPS	P
Joanne	Chadwick	DPS	B
Susan	Barrett	GMCB	P
tba	waiting for new hire	GMCB	B
Linda	Richards	JUD	P
Theresa	Scott	JUD	B
James	Volz	PSB	P
Ann	Bishop	PSB	B
David	Tauscher	PSD	P
Sheri	Rockcastle	PSD	B
Chad	Wawrzniak	VDOL	P
Rick	Steventon	VDOL	B
AHS Contracting Alternate:			
Susan	Bartlett	AHS/CO	A
Legislative Liaison:			
Nathan	Lavery	JFO	A
Chief Performance Officer:			
Sue	Zeller	AOA	Z
Role Legend:		No PAL Assigned:	
P= Primary	A=Alternate	MIL	AUD
B= Backup	Z= CPO	SAS	LOT
		SOS	E-911

POPULATION ACCOUNTABILITY

POPULATION OUTCOME: (8) Vermont has open, *effective*, and inclusive government at the State and local levels (2014 Act 186); (8) Vermont’s state workforce is supported, motivated, healthy and accountable (Governor Shumlin’s Strategic Plan)

INDICATOR: Statewide use of performance methodology for results-based program management and budgeting.

STORY: All executive branch units were asked to assign 2 Performance Accountability Liaisons. Out of a possible 70 assignments, 57 Executive branch assignments were made, plus 2 from Judiciary, 1 from Joint Fiscal and one AHS contract alternate.

PARTNERS: All executive branch units

CHIEF PERFORMANCE OFFICER ROLE: Assemble a team of Performance Accountability Liaisons (PALs); hold in-house training for PALs and members of performance teams; work with early adopters; assist development of measures, data collection and reporting by agencies & departments; consolidate annual Population Level Reports to Legislature.

PERFORMANCE ACCOUNTABILITY

Quantity

Quality

Effort

How much did we do?

- **70 total potential PAL positions statewide**

(Including Judiciary but excluding JFO and CPO)

How well did we do it?

- **6 Certified RBA trainers in PAL group**

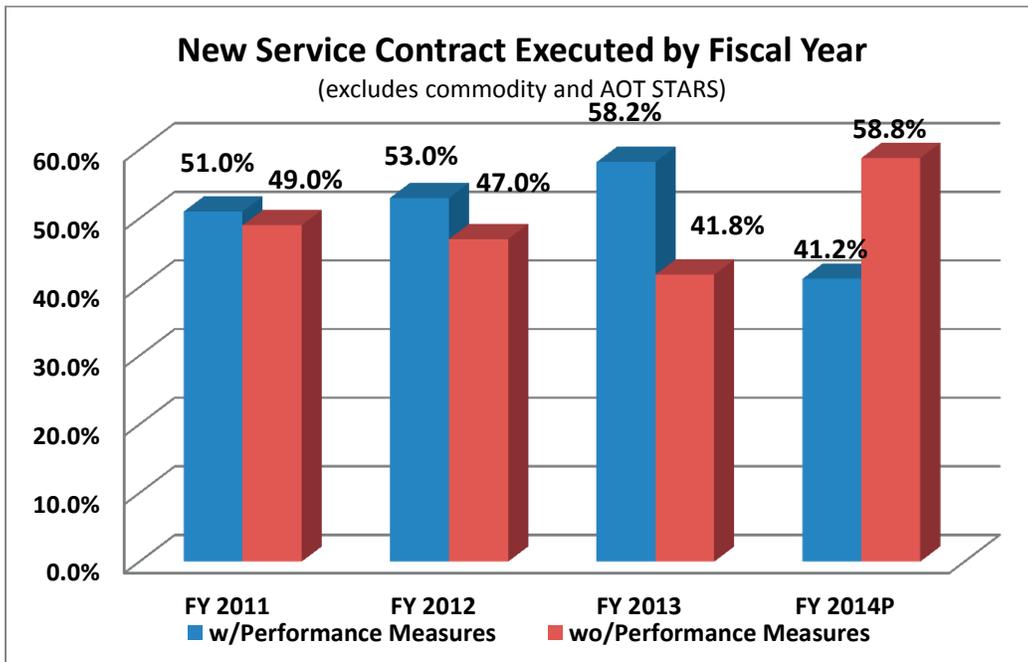
(Executive branch)

Effect

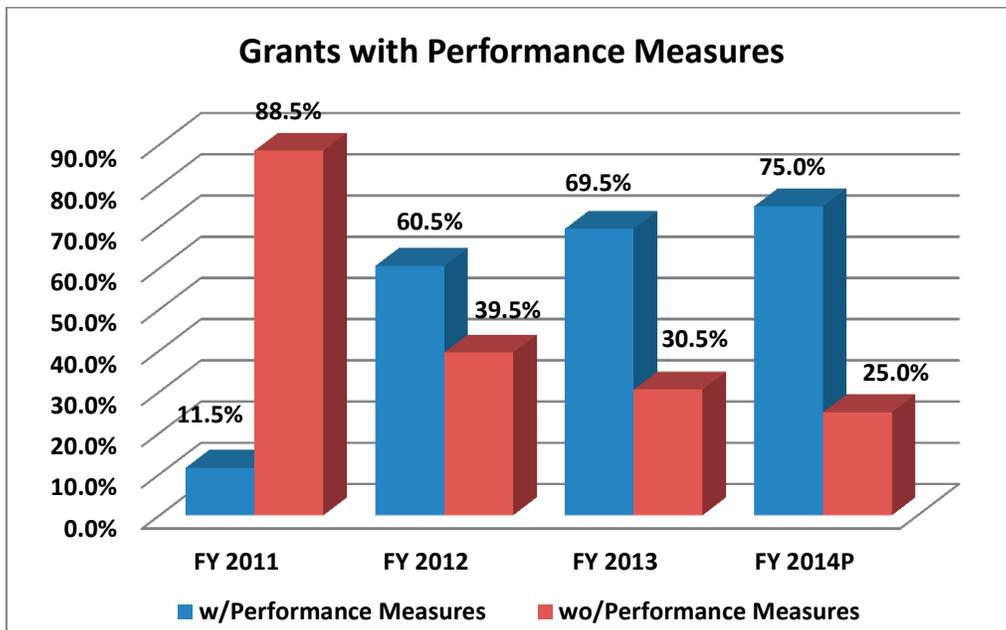
Is anyone better off?

- **57 PALs assigned**
- **53 PALs trained**

- **81% PALs assigned (participation rate)**
- **78% PALs trained in RBA**



NOTE: The Final FY 2014 new “contracts for service” list is not yet final. However, the preliminary number of new contracts for service entered into VISION and executed by the executive branch during FY 2014 is approximately 1,950. Total contract each year are approximately 1,800, plus or minus 10%. The chart above does not include commodity contracts or AOT Transportation contracts in the STARS system.



NOTE: Includes all grants entered into the VISION statewide financial system by departments, regardless of funding. Total number of grant records entered was 3,204 (FY 2011), 4,720 (FY 2012) and 4,334 (FY 2013). FY 2011 was the first year for reporting all grants, regardless of funding and is likely low as departments began complying with the new rules. Previous to FY 2011, only federally funded grants were reported.